

Texas Path to NIEM - Information Exchanges

Priority	In Pen Package	Exchange Name	Sending Agency	Receiving Agency	Document Type / IEPD Elements	Comment	TIJIS APPROVAL	Comments
1	yes	Pen Package Check List (Pen Package Electronic Package)	Sheriff	TDCJ	SEE the Pen Package Document Check List and associated data elements.	The Pen Package is a series of documents required to perform intake of an offender into a State Correctional Institution. The Pen Package Check List contains a list of demographic information, a check list of all the required documents and optional documents. The Pen Package document will contain the demographic elements and will serve as an electronic envelope for the required documents. Pen Package Workshop schedule for 8/10.	YES	
2	yes	Standardized Felony Judgment Form	Court, Sheriff	Sheriff, DA, Probation, Law Enforcement, TDCJ	Disposition and Sentencing Document	Standardized Felony Judgment Form will serve as a Texas standard for communicating the sentencing data elements and the sentence narrative. The Standardized Felony Judgment Form will: 1. define a data structure that describes a court order of sentence, which may or may not include commitment, following adjudication of a criminal cause of action, including references to supporting documents. 2. provide a model (and potentially an aspirational standard) for electronic exchange of sentence information between justice entities, including, the court, prosecution, defense counsel, corrections and detention. IEPD Clearinghouse has 2 existing documents for Standard Disposition and Sentencing that may be a starting point for the Texas Standard.	YES	
3	yes	Booking Report	Jail, Sheriff	Sheriff, Jail, DA, Probation, Court, Law Enforcement, TDCJ	Standard Arrest / Booking Report	The Standard Booking Report will provide a statewide electronic document for Jails to exchange booking information with all other "downstream" agencies in the justice process. Unisys will obtain several examples of Jail reports used across Texas to determine a "superset" of data elements for the IEPD.	YES	Removed the word incident and replaced with Booking
4	yes	Offense Report	Court, DA, Sheriff	TDCJ, Sheriff, DA	Sentencing Document	The Offense Sentence Report is a written report describing each offense for which the individual has been sentenced. The Offense Sentence Report is one of the required documents for the Pen Package. The Offense Report is a more detailed description of the offense than is provided in the Standardize Felony Judgment Form.	YES	

Texas Path to NIEM - Information Exchanges

Priority	In Pen Package	Exchange Name	Sending Agency	Receiving Agency	Document Type / IEPD Elements	Comment	TIJIS APPROVAL	Comments
5	yes	Indictment or Information (Charging Document)	DA, Court, Sheriff	Sheriff, Jail, Probation, Court, Law Enforcement, TDCJ	Charging Document	The "Charging Document" is developed by the District Attorney and used to begin a court case through the filing of formal charges. (Felony & Misdemeanor) The Standard Charging Document will provide a statewide electronic document for the District Attorney to exchange charging information (initial charges and amended charges) with all other agencies in the justice process. IEPD Clearinghouse has several existing IEPDs for charging documents that can be used as a starting point for a Texas standard.	YES	
6	yes	Jail Conduct Report	Jail, Sheriff	Sheriff, Jail, Probation, Court, Law Enforcement, TDCJ	Jail Conduct Report	Unisys will obtain several examples of the Jail Conduct Reports used across Texas to determine a "superset" of data elements for the IEPD.	YES	
7	yes	Detainers, Holds Notification	Jail, Sheriff	TDCJ - Corrections, Sheriff, DA, TDCJ	Detainers, Holds or Warrant Notification	The Detainers, Holds Notification will provide a statewide electronic document for the Texas agencies to exchange "do not release" because of Detainers, Holds and/or Warrants from one or more Local, State or Federal jurisdictions with all other agencies in the justice process.	YES	
8	yes	Pre-Sentence Investigation (PSI) Report	Probation, Sheriff	DA, Court, Sheriff, TDCJ	Pre-Sentence Investigation (PSI) Report	The PSI is comprised of information from several agencies and including Defendant Info, current criminal offense, education status, employment status, substance abuse assessment, Victim Impact, criminal history, military history and probation supervision plan. The National Law Enforcement and Corrections Technology Center System has already conducted analysis for this exchange.	YES	UNISYS needs to use the NLECTC Standards
9	yes	Client Supervision Plan	Probation, Sheriff	DA, Court, Sheriff, TDCJ	Probation Client Supervision Plan	The Client Supervision Plan will provide a statewide electronic document for Probation to exchange detailed client supervision information (including substance testing, rehabilitation programs) with all other agencies in the justice process. The PSI may contain one or more Client Supervision Plans.	YES	
10	yes	Victim Impact Statement	DA, Sheriff	Sheriff, TDCJ, DA, OAG	Victim Impact Statement	Unisys will obtain several examples of the Victim Impact Statement used across Texas to determine a "superset" of data elements for the IEPD. Victim Impact Statement may contain highly sensitive information and will be treated highly confidential.	YES	

Texas Path to NIEM - Information Exchanges

Priority	In Pen Package	Exchange Name	Sending Agency	Receiving Agency	Document Type / IEPD Elements	Comment	TIJIS APPROVAL	Comments
11	no	Sex Offender Release Notification	TDCJ, Jail	Law Enforcement	Sex Offender Release Notification	Sex Offenders are required to register with Law Enforcement after release from a State Institution or Jail. The Sex Offender Release Notification will be sent to Law Enforcement agencies where the Sex Offenders is required to report. SEE existing Sex Offended Release Notification. SEE Tammy Cannon for paper document. Sex Offender Form CR34.	YES	
12	no	Licensed Foster Care Provider Notice	Texas Department of Family and Protective Services (DFPS)	Law Enforcement	Notice of Foster Care Providers (address information for update into CAD)	Law Enforcement agencies are required to notify DFPS of law enforcement calls of service to licensed foster care providers. Many Law Enforcement agencies are not aware of the foster care provider locations. The Licensed Foster Care Provider Notice will update law enforcement agencies of Adds/Updates/Deletes of Foster Care Providers that can be incorporated into their CAD systems.	YES	
13	no	Law Enforcement - Dispatch to Licensed Foster Care Provider Notification	Law Enforcement	Texas Department of Family and Protective Services (DFPS)	Arrest / Incident / Supplemental Report	Law Enforcement agencies are required to notify DFPS of law enforcement calls of service to licensed foster care providers. Law Enforcement agencies will send DFPS a notice and incident information when officers are dispatched to a Licensed Foster Care Provier.	YES	
14	no	Notice of Release from Jail/Prison	TDCJ, Jail	Law Enforcement, DA, Court, Public Defender	Release Notification (Sent XX days prior to actual release)	The TDCJ and local Jails are required to notify agencies that were associated with particular cases of interest. The Notice of Release will provide a statewide electronic document for TDCJ and local jails to exchange release information with all other agencies involved in the case. Agencies are interested in notifications related to the release of gang members, sexual offenders and violent offenders.	YES	
15	no	Expunction or Non-Disclosure Notice	District Court	DPS, Probation, Law Enforcement	Expunction or Non-Disclosure Notice	Court notifies other agencies that an Expungement or Non-Disclosure Order has been issued by the Court. The agencies may be required to update case records in their operational systems to reflect court orders.	YES	

Texas Path to NIEM - Information Exchanges

Priority	In Pen Package	Exchange Name	Sending Agency	Receiving Agency	Document Type / IEPD Elements	Comment	TIJIS APPROVAL	Comments
16	no	Affidavit of Indigency and Election of Counsel Notice	Court	Office of Court Administrative - Task Force on Indigent Defense	Public Defender Appointment Notice	The Office of Court Administration - Task Force on Indigent Defense (TFID): http://www.courts.state.tx.us/tfid/ is responsible for collecting statistics and financial information on the appointment of Indigent Defense. The Affidavit of Indigency and Election of Council Notice will provide TFID with appointment and financial information concerning Indigent defense counsel.	YES	
17	no	Inmate Ready List	TDCJ	Jail	Inmate Ready List - Inmates Ready for Transport to State Institution (Pen Package Received)	TDCJ sends local Jail a list of inmates that are ready for transport to the State Correctional Intake and classification center.	YES	
18	no	Probation Case Status and Completion Information	Probation, Clerk of Court	Law Enforcement, DA, Court, Public Defender, DPS	Probation Client Status Notification	When requested, Probation sends status information on a given individual including updated demographics, employment and program status.	Yes	
19	no	Probation Completion Information	Probation, Clerk of Court	Law Enforcement, DA, Court, Public Defender, DPS	Notice of Probation Completion	Probation sends a notice indicting the offender has successfully completed the terms of Probation.	Yes	
20	no	Document Service Request (Subpoena, Court Order, etc...)	OAG, Court, DA, DPS	Sheriff, Constable, Private Service Provider	Service Request Notice and Service Document	The Court, OGA or DA may request that a document is served on individual by Sheriff, Constable, Private Service Provider. The Document Service Request will provide a statewide electronic document for the Texas agencies to submit service requests.	YES	All originators need to be identified
21	no	Service Results Notification	Sheriff, Constable, Private Service Provider	OAG, Court, DA, DPS	Results of Service	The Service Results Notification will provide a statewide electronic document for the Texas document services agencies (and private companies) to submit service results to the initiating agency.	YES	
22	no	Suspicious Activity Reports (SARs)	Law Enforcement, Fusion Center, Other Criminal Justice Agencies	Law Enforcement, Fusion Center, Other Criminal Justice Agencies	Suspicious Activity Reports (SARs)	Suspicious Activity Reports (SAR) that would contain a list of electronic data elements that could be pushed to other agencies of interest. Unisys has received SARs documentation from the national CJIS community.	YES	

Texas Path to NIEM - Information Exchanges

Priority	In Pen Package	Exchange Name	Sending Agency	Receiving Agency	Document Type / IEPD Elements	Comment	TIJIS APPROVAL	Comments
23	no	Delinquent Child Support Notification	OAG, Court, Child Support Office	Review family code 232.002 (Many Agencies Involved)	Delinquent Child Support Notification	The Delinquent Child Support Notification will provide a statewide electronic document for agencies to report an individual is delinquent on child support payments and is no longer eligible to receive licenses (driver license, etc.). In addition, individuals that owe Child Support should not be on Probation.	YES	
24	no	Consolidated Digital Photograph Notification	Agencies with photo capabilities	All Other Agencies	Demographic Information and chronologically sequenced photographs.	Agencies may request a Consolidated Digital Photograph Notification from another agency (Sending Agency) that has electronic photo capabilities. The Sending Agency will exchange an electronic document that contains demographic information (AKA) and a chronological sequence photographs (included in the XML stream as Binary Objects).	YES	Need UNISYS to provide clarification and if they can tell us who brought this exchange up (which focus group)
25	no	Standard Citation (Traffic / Ordinances)	Law Enforcement	Court, DA, Probation, Fusion Center, State Law Enforcement Agencies	Uniform Citation	The Standard Citation will provide a statewide electronic document for Local and State law enforcement agencies to exchange Citation (Traffic and Ordinance) information with all other "downstream" agencies involved in the case. Citation information may be exchanged between local agencies and State Agencies and Fusion Centers.	YES	Clarification on proposed exchange needed from UNISYS
26	no	Criminal History Notification to Licensing Agency	Courts	Licensing Agencies (SBEC, Dept. of Insurance, Nurse Examiners Board)	Criminal Conviction or Deferred Adjudication for certain offenses	Licensing Agencies will receive notification of criminal convictions and or grant of deferred adjudication	YES	
27	no	Prosecutor Action Report	DA	DPS	Prosecutor Actions	Any prosecution action affecting the charges of the case. Assists in the building of the CCH File. SEE: ER-3 Documents.	YES	

Texas Path to NIEM - Information Exchanges

Priority	In Pen Package	Exchange Name	Sending Agency	Receiving Agency	Document Type / IEPD Elements	Comment	TIJIS APPROVAL	Comments
28	no	Disposition Report from the Clerk	Court	DPS	CCH Disposition Report	The Standard Disposition and Sentencing will provide a statewide electronic document for the Courts and DA to exchange Disposition (Guilty, Not Guilty, Dismissals) and Sentencing information with all other "downstream" agencies. The "Disposition Report" will be used to close "open arrest" records in the Criminal History system and will notify other downstream agencies of case outcomes. There are several GJXDM examples of Disposition and Sentencing Documents on the IEPD Clearinghouse. SEE: Electronic Disposition Reporting (EDR) Guide of prosecution and court transactions to the Texas Department of Public Safety. Assists in the building of the CCH File. SEE: ER-4 Documents (Accept, Deny and Add)	YES	

Other Exchanges Discussed During JIEM Workshops but NOT Targeted for IEPD at this time.								
1	yes	Mental Health Information: Psychological / Psychiatric Evaluation	Department of Mental Health	Sheriff, TDCJ	Psychological / Psychiatric Evaluation Report	Psychological information is highly sensitive and may be illegal to exchange electronically per existing Texas law.	NO	
2	no	Juvenile Information Notification	Juvenile Justice Agencies	Sheriff, Jail, Probation, Court, Law Enforcement, TDCJ	Juvenile Information Notification	Unisys needs to do additional research to determine if this is a viable exchange. The Workshop team discussed a number of concepts related to the exchange of information. (Don Lee is coordinating a project related to exchanging juvenile info for the CUC) Criminal Justice Adult Probation group as a contact.	NO	
3	no	Fusion Center - Raw Law Enforcement Reports	Sheriff, Law Enforcement	Fusion Center	Arrest / Incident / Supplemental Report	Fusion Center requests a copy of raw arrest or incident information from Law Enforcement. The Raw Law Enforcement Reports may be based on the Standard Arrest/Incident Reports but may be extended to include purely investigative matters and other electronic media such as photographs, diagrams and videos. The Fusion Center participants of the JIEM Work Shops did not see a need for this type exchange at the present time although it may be future requirement.	NO	

Texas Path to NIEM - Information Exchanges

Priority	In Pen Package	Exchange Name	Sending Agency	Receiving Agency	Document Type / IEPD Elements	Comment	TIJIS APPROVAL	Comments
4	no	Pawn Shop Information	Pawn Shops	Law Enforcement, DPS	Pawn Shop Item Data	The Pawn Shop Information Notification will provide a statewide electronic document for Pawn Shops to submit a listing of new items received to local Law Enforcement or DPS. Pawn Shops are not required by law to provide law enforcement with electronic listings of their inventory. At least one the larger Pawn Shop operators has agreed to provide this data but most Pawn Shop operators do NOT.	NO	
5	yes	Criminal History	State Repository	Sheriff, Jail, DA, Probation, Court, Law Enforcement	Standard RAP Sheet	The Standard RAP Sheet will provide a statewide electronic document for DPS to exchange criminal history information with all other agencies in the justice process. IEPD Clearinghouse has existing document for Standard RAP Sheet that was developed by NLETS. SEE - JTF Interstate Criminal History Rapsheet: http://www.nlets.org/resources/?cid=96	NO	Project Team decided to wait for the emerging National Standards for the RAP sheet exchange.
6	no	Court Ordered Paternity	District Court	Department of State Health Services/Vital Statistics	Results of Court Order Paternity test linking parent to child.	District Clerk prepares report of each order determining paternity on designated form and sends to the Department of State Health Services/Vital Statistics. The OAG offices does the most DNA tests through filing a petition alleging that a certain individual is the father. The OAG receives a court order and sets up a paternity test. If the court finds that the individual is the father, a form is created and sent to vital statistics to change the birth certificate. (When the child support is not paid, the individual cannot get certain licenses – this “passing of paternity information” might be helpful to other agencies. Parents who are behind in payments or who fail to comply will be denied drivers licenses, Registration renewal, handgun permits, passports, etc. Interest was expressed in the exchange of information establishing paternity and delinquency of child support.)	NO	DHHS not at the table at this time. Needs to be looked at again in the future.

Texas Path to NIEM - Information Exchanges

Priority	In Pen Package	Exchange Name	Sending Agency	Receiving Agency	Document Type / IEPD Elements	Comment	TIJIS APPROVAL	Comments
7	no	Crime Lab Report	State of Texas Crime Lab	Law Enforcement	Crime Lab Results	Many larger law enforcement agencies have their own crime lab capabilities. However, smaller agencies often rely on the State of Texas Crime Lab for testing on serious cases. In addition, the State Crime Lab may have advanced testing capabilities that are not available at the local level even for the larger agencies. The Crime Lab Report will provide crime analysis information from the State Crime Lab to the local law enforcement agencies. The Crime Lab Report may consist of a package of demographic and case identification information with a PDF crime analysis report embedded in the XML document.	NO	
8	no	Texas Gang Database Update	Law Enforcement	DPS - Gang Task Force	Person Gang Member Update Notification	Person Gang Member Update Notification provides an update to the Statewide Gang Database maintained by DPS.	NO	Good possibility for an exchange in the future
9	no	Child Placement Request Form (Juvenile Common Application)	Juvenile Justice Agencies	Texas Youth Commission, Other Juvenile Justice Agencies	Juvenile Common Application	The Juvenile Common Application a voluminous form including criminal history, psych eval, court findings, etc. That same information needs to be re-keyed when the child is committed by the Texas Youth Commission. Any child that is placed outside of their home (treatment facilities, etc) has to be processed through a Juvenile Common Application. DFPC (Department of Families and Protective Services) requires Juvenile Common Application for any child placed in foster care. Texas law may prohibit psychological evaluations of minors to be electronically stored.	YES	Juvenile Justice agencies are currently re-organizing their business process and developing new systems.